10-18-05

PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/673,674 TRANSMITTAL Filing Date September 29, 2003 **FORM** First Named Inventor Michael D. Hitchcock Art Unit (to be used for all correspondence after initial filing) 2127 **Examiner Name** N/A Attorney Docket Number C069 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Michael O. Scheinberg Individual name Signature Date October 17, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Kathy Schoen Date Signature October 17, 2005

This collection of information is required by 37 CFR 1.5 The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by \$5 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.:

10/673,674

ART UNIT: 2127

EXAMINER: N/A

APPLICANT(S):

Michael D. Hitchcock, James H. Wolfston, Jr.,

John W. Stedman, Andree J. Hertz and Raymond L. Price

FILING DATE:

September 29, 2003

TITLE:

Universal Forms Engine

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is Form PTO SB08A & B with references listed for consideration by the Office. Copies of cited US patents and publications are not provided in accordance with the waiver published in the August 5, 2003 Official Gazette.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Date: October/17

1

lame: Kathy Schoen

that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

A request for reexamination has been filed in related U.S. Patent No. 6,460,042, and assigned reexamination control no. 90/007,706. Applicants also note that related patents are or have been involved in the litigation as shown on the attached litigation search from reexamination control no. 90/007,706.

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date: October 17, 2005

Michael O. Scheinberg

Patent Reg. 36,919 P.O. Box 164140

Austin, Texas 78716-4140

Telephone: (512) 328-9510 Facsimile: (512) 306-1963

PTO/SB/08A (08-03)

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/673,674			
Filing Date	09/29/2003			
First Named Inventor	Michael D. Hitchcock			
Art Unit	2127			
Examiner Name	N/A			
Attorney Docket Number	C069			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,055,541	04-25-2000	Joseph S. Solecki, et al.	
		<sup>US-</sup> 6,035,119	03-07-2000	Jay Loren Massena, et al.	
		<sup>US-</sup> 5,903,721	05-11-1999	Timothy Siktus	
		<sup>US-</sup> 5,886,693	03-23-1999	Chung-Jen Ho, et al.	
		<sup>US-</sup> 5,884,309	03-16-1999	Steven L. Vanechanos, Jr.	
		<sup>US-</sup> 5,878,403	03-02-1999	James R. DeFrancesco, et al.	
		US- 5,870,473	02-09-1999	Brian Paul Boesch, et al.	
		<sup>US-</sup> 5,802,518	09-01-1998	Isaak Karaev, et al.	
		<sup>US-</sup> 5,784,562	07-21-1998	Glendon Diener	
		<sup>US-</sup> 5,758,324	05-26-1998	Richard L. Hartman, et al.	
		<sup>US-</sup> 5,729,594	03-17-1998	Edwin E. Klingman	
		<sup>US-</sup> 5,671,279	09-23-1997	Taher Elgamal	
		US-			

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	oreign Patent Document Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear					
	_	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				T⁵				
L										

Examiner	Date	
Signature	Considered	i
	Considered	·

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO				Complete if Known		
5.15.5.16.				Application Number	10/673,674	
INFO	DRMATIO	N DIS	CLOSURE	Filing Date	09/29/2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Michael D. Hitchcock	
	(l lee se many ei	haate se ni	eressand	Art Unit	2127	
(Use as many sheets as necessary)				Examiner Name	N/A	
Sheet	2	of	3	Attorney Docket Number	C069	

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	A	BroadVision One-to-One (data unknown)					
	В	CyberCash Techology Providers (data unknown)					
	С	Getting Started as a CyberCash Merchant: Part 1 (data unknown)					
	D	Getting Started as a CyberCash Merchant: Part 2 (data unknown)					
	E	MAGDALENA YESIL, Creating the Virtual Store - Taking your web site from browsing to buying, published by John Wiley & Sons, Inc., November 8, 1996.					
	F	COLLEGENET, INC., Virginia Tech Describes Web Admissions Application Advantages to Standing Room Only Crowd at AACRAO, published by CollegeNET, Inc., April 20, 1997.					
	G	VANECHANOS, JR., Order Entry System for Internet, U.S. Patent No. 5,884,309, March 16, 1999.					
	Н	SHISHIR GUNDAVARAM, CGI Programming on the World Wide Web, published by O'Reilly & Associates, Inc., March 1996.					
	. 1	JIM ELLIS, Tackling Admission with a PC, published by Business Week, November 11, 1996.					
	J	COLLEGENET, INC., New World Speed Record Set for Applying to College, August 28, 1996.					

Examiner		Date	
Signature	·	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it contains a valid OMB control number. Under the Panerwork Reduction Act of 1995, no persons are required to rest

Substitut	Substitute for form 1449/PTO		Complete if Known			
Cubacia	310 10111 17701 10			Application Number	10/673,674	
INFO	DRMATION	I DIS	CLOSURE	Filing Date	09/29/2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Hitchcock	
	(Use as many she	nate ae n	ocecand	Art Unit	2127	
	(OSO as many sine	as 11	occasa, y)	Examiner Name	N/A	
Sheet	3	of	3	Attorney Docket Number	C069	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	К	DEB RIECHMANN, Now, you can surf the Net into college - Online access helps speed applications to the school of your choice, published by Syracuse Herald-Journal, December 2, 1996.	
		<u> </u>	
		· · · · · · · · · · · · · · · · · · ·	
<del></del>		·	

Examiner	Date	-
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## BEST AVAILABLE COPY

### 1 of 1 DOCUMENT

### UNITED STATES PATENT AND TRADEMARK OFFICE GRANTED PATENT

6460042

Link to Claims Section

October 1, 2002

Universal forms engine

#### **REEXAM-LITIGATE:**

NOTICE OF LITIGATION

CollegeNet, Inc. a Delaware corporation v. Apply Yourself, Inc., a Deleware corporation, Filed October 1, 2002, D.C. Oregon (Portland), Doc. No. 02-cv-1359HA

NOTICE OF LITIGATION

CollegeNET, Inc. v. The Princeton Review, Inc., Filed September 10, 2003, D.C. Oregon (Portland), Doc. No. CV 03-1231-JE

NOTICE OF LITIGATION

CollegeNet, Inc. v. Applyyourself, Inc, Filed April 12, 2002, D.C. Oregon (Portland), Doc. No. 02-CV-484-HU

Final Judgment & Injunction Dated 10/15/03, sent to the Commissioner of Patents and Trademarks on 11/14/03, Dennis James Hubel, United States Magistrate Judge

NOTICE OF LITIGATION

Collegenet, Inc. v. Xap, Corporation, Filed September 10, 2003, D.C. Oregon (Portland), Doc. No. CV 3:03-cv-1229

NOTICE OF LITIGATION

Collegenet, Inc v. Princeton Review, Inc, Filed August 3, 2005, D.C. Oregon, Doc. No. 3:05cv1205

NOTICE OF LITIGATION

Collegenet, Inc v. Applicationsonline, LLC et al, Filed August 12, 2005, D.C. Oregon, Doc. No. 3:05cv1255

INVENTOR: Hitchcock, Michael D. - Portland, Oregon; Wolfston, Jr., James H. - West Linn, Oregon; Stedman, John W. - Beaverton, Oregon; Hertz, Andree J. - Beaverton, Oregon; Price, Raymond L. - Tualatin, Oregon

**APPL-NO:** 991434 (09)

FILED-DATE: November 9, 2001

GRANTED-DATE: October 1, 2002

LEXIS-NEXIS
Library: PATENTS

File: ALL